

ClearMarc

Third-Party Risk & Due Diligence Report



VERIFICATIONS - COMPANY DUE DILIGENCE REPORT

Third-Party Risk & Due Diligence Report

XXXXXXX Allied Health Services Pty Ltd

HEALTHCARE & ALLIED HEALTH

Report reference	AU-HAH-DD-2026-0512
Report type	Comprehensive
Report date	30 June 2026
Prepared for	Procurement & Risk — ABC PTY LTD
Prepared by	ClearMarc Investigations
Lead investigator	B. King
Classification	Private & Confidential

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1. Executive summary

XXXXXXX Allied Health Services Pty Ltd is an established allied health provider proposed to deliver mobile clinical services to a residential aged care group. Verification across 15 checks found a soundly registered business with no insolvency, litigation, or adverse regulatory history. Three items require attention before contract start, the most significant being a lapsed practitioner registration. The overall risk rating is Medium and the engagement is supportable on the conditions set out below.

Vendor snapshot

Legal name	XXXXXXX Allied Health Services Pty Ltd
Trading name	XXXXXXX Allied Health
ACN / ABN	XXX XXX XXX / XX XXX XXX XXX
Entity type	Australian proprietary company, limited by shares
Directors	Dr XXXX XXXXXXXX; Mr XXXX XXXXXXX
Registered office	Parramatta, NSW
Sector / services	Allied health — physiotherapy, occupational therapy, speech pathology
Engagement scope	Mobile allied health services to a residential aged care group

Verification summary

Total verification checks	15	Supporting documents collected	11
Verified / cleared	5	Confirmed by direct enquiry	9
Verified with notes	6	Items marked not applicable	1
Flagged for attention	3	Overall risk rating	Medium

Read with the detailed findings at Section 3. Status definitions: Verified — confirmed clear; Verified with notes — confirmed with a residual consideration; Flag — requires action; N/A — not applicable to this engagement.

Key flags & required actions

Ref	Issue identified	Severity	Recommended action — before contract start
H-01	Practitioner registration lapsed (1 of 40 staff)	High	Stand the individual down; obtain evidence of restored AHPRA registration before they deliver any service.
U-06	Professional indemnity certificate expires mid-mobilisation	Low	Obtain a refreshed certificate of currency covering the full engagement term.
U-03	Undisclosed directorship; prior deregistered company	Medium	Obtain written clarification and confirm there is no conflict with the engagement.

Recommendation

Proceed with conditions.

The vendor is well established and presents no disqualifying findings. Engagement is recommended subject to the following being closed before services commence:

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1. Confirmation that the practitioner with lapsed registration has been stood down, with evidence of renewal (item H-01).
 2. A refreshed professional indemnity certificate covering the full engagement term (item U-06).
 3. Written clarification of the undisclosed directorship and prior deregistered company (item U-03).

Ongoing monitoring of financial position and practitioner registration currency is recommended across any multi-year term.

2. Scope & methodology

This is a Comprehensive verification risk due diligence report. ClearMarc's work is carried out by licensed investigators — not an automated database lookup. Each item is confirmed at one of two levels:

Documentary	The credential exists and is current on the public or licensed record.
Direct enquiry	The credential is confirmed directly with the issuing authority, insurer, or referee — genuine, current, unconditional, and correctly attributed.

Sources drawn on for this engagement include ASIC, the Australian Business Register, credit report, the AHPRA register, Services Australia, the relevant insurers, and direct enquiry with the vendor and two client referees. Findings reflect information available as at the report date.

3. Detailed findings

3.1 Universal baseline (all suppliers)

Ref	Check	Status	Finding & evidence
U-01	Entity & registration	Verified	Documentary · ASIC, ABR. ACN XXX XXX XXX and ABN XX XXX XXX XXX are active and correspond to XXXXXX Allied Health Services Pty Ltd. The trading name is registered, and the contracting entity matches the entity tendering. GST registered since 2016.
U-02	Ultimate beneficial ownership	Verified – note	Doc + enquiry · ASIC, director confirmation. Shareholding is held entirely by the two directors. On direct enquiry, Dr XXXXXXXX disclosed a controlling interest in a related entity, XXXXXX XXXX Holdings Pty Ltd, which leases premises to the vendor. No undisclosed offshore or third-party ownership was identified.
U-03	Director & officeholder history	Flag	Doc + enquiry · ASIC personal name extracts. Both directors are validly appointed. Mr XXXXX was formerly a director of XXXXXX Therapy Group Pty Ltd, voluntarily deregistered in 2022 — no insolvency or external administration is recorded. A current directorship held by Mr XXXX in an unrelated consultancy was not disclosed in the tender response. Written clarification is recommended to confirm no conflict with the proposed engagement.
U-04	Financial position	Verified – note	Documentary · Credit report. The vendor presents a moderate credit risk score. One payment default was recorded in 2023 and has since been satisfied. No current court judgments, ATO defaults, or external administration. The financial position appears adequate for the proposed scale, though ongoing monitoring is recommended across a multi-year term.
U-05	Litigation & regulatory action	Verified	Doc + enquiry · court registers, regulator checks. No current or pending court proceedings involving the entity. No enforcement action by AHPRA, the Aged Care Quality and Safety Commission, or fair trading bodies against the entity was identified.
U-06	Insurance	Flag	Direct enquiry · certificates of currency, insurer. Public liability (\$20m) and workers compensation are current. Professional indemnity (\$10m) is current, but the certificate of currency expires 14 August 2026 — within the proposed mobilisation window. A refreshed certificate covering the full engagement term should be obtained before services commence.
U-07	Workforce arrangements	Verified – note	Doc + enquiry · vendor records, sample verification. Approximately 70% of clinical staff are employees and 30% are engaged as contractors. ABNs and individual cover were confirmed for a sample of contractors, and classification appears consistent with their engagement. Written sub-contractor agreements were not provided for all contractors and should be in place before engagement.
U-08	Cyber & data handling	Verified – note	Doc + enquiry · vendor policies, OAIC. The vendor handles patient health information through an Australian-hosted clinical records system and maintains a privacy policy aligned to the Australian Privacy Principles. No notifiable

			data breaches were identified. The vendor does not hold ISO/IEC 27001 or SOC 2 certification — for an engagement involving sensitive health data this is a residual consideration rather than a disqualifier.
U-09	Modern slavery & ESG	Verified	Documentary · vendor policy, Modern Slavery Register. The vendor falls below the A\$100m mandatory reporting threshold and is not a required reporting entity. A basic supplier code and modern slavery statement are in place.
U-10	Reputation	Verified	Direct enquiry · client references, open-source media. Two client references were positive regarding service reliability and clinical quality. No adverse media, regulator notices, or pattern of complaints was identified.

3.2 Healthcare & allied health

Ref	Check	Status	Finding & evidence
H-01	AHPRA registration	Flag	Doc + enquiry · AHPRA register (individual verification). Of 40 clinical staff, XX hold current and unconditional registration in their respective professions. One physiotherapist's registration lapsed on XX June 202X and had not been renewed at the time of this report; this individual must not provide services in a registered capacity until registration is restored. One occupational therapist previously held a supervision condition, lifted in December 202X, with no current restriction. The vendor should confirm the lapsed practitioner has been stood down and provide evidence of renewal before engagement.
H-02	Medicare provider standing	Verified	Documentary · Services Australia confirmation. Provider numbers are valid for practitioners where Medicare billing applies. No PRODA / HPOS standing issues were identified.
H-03	Professional indemnity (clinical)	Verified – note	Direct enquiry · insurer confirmation. Registered practitioners are covered for professional indemnity under the entity's group policy, meeting the AHPRA mandatory PI standard. This cover is subject to the certificate expiry noted at item U-06; the refreshed certificate should confirm continued practitioner-level cover.
H-04	TGA obligations	N/A	Not applicable. The vendor supplies clinical services and does not manufacture, import, supply, or sponsor therapeutic goods. No ARTG or GMP obligations arise from the proposed engagement.
H-05	Accreditation	Verified – note	Doc + enquiry · vendor governance documents. The vendor operates an internal clinical governance framework but is not formally accredited against the National Safety and Quality Health Service (NSQHS) Standards. Where the aged care contract requires NSQHS alignment, the vendor's pathway to — or mapping against — those standards should be confirmed.

4. Overall assessment

Overall risk rating	Medium — proceed with conditions
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Basis	Established entity; no insolvency, litigation, or adverse regulatory history. Risk concentrated in three closable items, one of which (lapsed registration) is material and time-sensitive.
Conditions outstanding	3 — see Section 1 recommendation (items H-01, U-06, U-03).

Sign-off

Lead investigator	Reviewing analyst	Report date
B. King	ClearMarc Investigations	30 June 2026

Important notice

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